



## NATIONAL AGENCY FOR FOOD AND DRUGS ADMINISTRATION AND CONTROL (NAFDAC)

# THE INTERNATIONAL CODE OF MARKETING OF BREASTMILK SUBSTITUTES MONITORING TOOLKIT

# THE NEED TO MONITOR THE INTERNATIONAL CODE OF MARKETING OF BREASTMILK SUBSTITUTES

#### Introduction

Optimal and appropriate infant and young child feeding (IYCF), access to health services, and proper childcare practices are essential elements for the prevention of malnutrition (under-nutrition, overweight and obesity), mortality and illness among infants and young children.

The World Health Organization (WHO) and the United Nations Children's Fund (UNICEF) recommend optimal infant and young child feeding as:

- ✓ initiation of breastfeeding within one hour of birth;
- ✓ exclusive breastfeeding for the first 6 months of life;
- ✓ continued breastfeeding for 2 years or beyond;
- ✓ introduction of adequate and appropriate complementary foods from 6 months onwards.

Every year more than 800,000 deaths in children under the age of five could be prevented by breastfeeding. Evidence shows that breastfeeding provides protection against acute childhood diseases as well as against chronic diseases later in life. Published articles also report that children who are breastfed for 12 months or more had statistically significantly higher IQ scores, more years of education and higher monthly incomes than did those who were breastfed for less than one month. Breastfeeding needs to be protected, promoted, and supported.

The Code seeks to protect and encourage breastfeeding by restricting marketing practices used to promote products for artificial feeding. The Code has eleven articles with last being monitoring. The article 11 emphasized the need to implement and monitor the Code and the roles of collective and individual actors including the government, the NGO community, professional groups, institutions, and WHO. Since the adoption of the Code in 1981, international recommendations and commitments have repeatedly called for country actions to monitor and enforce compliance with the Code, including the Global Strategy for Infant and Young Child Nutrition adopted by WHA in 2002(8), the 2012 Comprehensive Implementation Plan for Maternal Infant and Young Child Nutrition (MIYCN), and the 2nd International Conference for Nutrition (ICN2) and subsequent WHA resolutions since then up until 2018.

In 2014, the Network for Global Monitoring and Support for Implementation of the International Code of Marketing of Breast-milk Substitutes and subsequent relevant World Health Assembly Resolutions (NetCode) was commissioned to "strengthen Member States' and civil society capacity to monitor the Code; and to facilitate the development, monitoring and enforcement of national Code legislation. Nigeria developed its monitoring tools over ten years ago and has recently reviewed the monitoring tools using the netCode as a reference.

The overall goal for monitoring is to stop all promotional activities related to the marketing of breast-milk substitutes, feeding bottles and teats.

The specific objectives are to:

- ✓ Detect violations of the national measures and/or the Code
- ✓ Document and report such violations
- ✓ Investigate and validate whether the reported activities are indeed violations
- ✓ Activate an enforcement mechanism that would stop such violations and deter future violations
- ✓ Hold manufacturers and distributors to account for their breeches of national measure(s) and/or the Code.

#### WHAT AND WHERE AND WHEN TO MONITOR

#### What to monitor?

Monitoring should cover materials, promotional and marketing activities, events, company personnel, and health care system engagement with manufacturers and distributors and any other form of engagement that manufacturers and distributors may devise to promote and market relevant products. Monitoring activities should cover the following:

- Media advertisements (TV, radio, online, print materials)
- Promotion in shops and pharmacies
- > Free Samples
- > Information from the manufacturer for health professionals
- Promotion in health facilities Health worker promotion
- Scholarships
- Sponsorships
- > Gifts of any sort (branded gifts) for health workers, health associations and mothers

- ▶ Labels
- > Promotion in communities and public places
- Company/Manufacturer/Distributor representative contact
- > Sales incentives/Sales quotas
- Donations
- Any other marketing, promotional materials and activities that may undermine breastfeeding in the country

#### Where to monitor?

Monitoring activities should be conducted mainly in areas where the main targets of promotional and marketing efforts are to be found. The following are considered key settings where monitoring activities should be carried out regularly:

- > Ports of entry and land borders
- Media channels and social networks a. TV, radio, billboards, other b. Internet (webpages, Facebook, Twitter, Instagram, smartphone apps, etc.) c. Printed materials (magazines, newspapers, flyers, brochures, etc.)
- ➤ Health facilities (public and private)
- Point of sale (supermarkets, stores, pharmacies, groceries)
- > Public areas (day care centres, parks, theatres, cinemas, open spaces, etc.) and within communities.

#### When to monitor?

Marketing and promotional activities happen any time of the day and in a variety of settings, depending on the specific marketing target of the baby food manufacturers and distributors. For government monitoring activities, it is recommended that monitoring activities be integrated into the following regular activities:

- > During inspection at Ports of entry.
- > Food and drug inspection activities at point of sale
- > Media monitoring related to food and drug safety and regulations and monitoring activities related to truth in advertising
- > Health facility monitoring and assessments
- > Programme level monitoring and supportive supervision in public health facilities and communities.

References: Protecting Infant Health, 11<sup>TH</sup> Edition, IBFAN Penang, Malysia; The WHO Net Protocol.

## **NAFDAC**

## **BMS CODE MONITORING TOOLKIT**

NIGERIA CODE MONITORING (NCM) FORM 1: QUESTIONNAIRE FOR HEALTH WORKERS AND HEALTH FACILITIES (Antenatal, Maternity, Postnatal, Neonatal, Paediatrics, etc)

This questionnaire asks for information about products within the scope of the International Code of Marketing of BMS and the National Regulations, which the facility uses. See *International Code of Marketing of BMS* and Monitoring Manual for explanation of what products a re included within the scope of the Code. Make sure that for each product listed, there is corresponding information on how the product is obtained. Record only what happened within the last 6 months.

b. "If Yes, show evidence". "If No, skip to question 2".  A. Ten (10) Steps for successful breastfeeding, B. Policy, C. Code awareness, D. Others. (Specify))  a. Does the facility have the BMS and other related products, listed below; YES NO  b. How does the facility obtain the products? Give details below:  How does the facility obtain products within the scope of the "International Code of Marketing of BMS and the Na Regulations"? Give details below:  Type of product  Details of Product Brand Name*: NRN: B/no: EXD: MFD:  3i  3ii  3iii	A. Ten (10) Steps for successful breastfeeding, B. Policy, C. Code awareness, D. Others. (Specify))  a. Does the facility have the BMS and other related products, listed below; YES NO  b. How does the facility obtain the products? Give details below:  How does the facility obtain products within the scope of the "International Code of Marketing of BMS and the Nat Regulations"? Give details below:  Type of product  Brand Name*: NRN: B/no: EXD: MFD:  3i  3ii  3iii	a. "Is this facility "Baby	Friendly"? YES NO		
h. Does the facility have the BMS and other related products, listed below; YES NO  b. How does the facility obtain the products? Give details below:  How does the facility obtain products within the scope of the "International Code of Marketing of BMS and the Na Regulations"? Give details below:  Type of product  Brand Name*: NRN: B/no: EXD: MFD:  3ii  3iii	h. Does the facility have the BMS and other related products, listed below;  Define the facility obtain the products? Give details below:  How does the facility obtain products within the scope of the "International Code of Marketing of BMS and the National Regulations"? Give details below:  Type of product  Brand Name*:  NRN:  B/no:  EXD:  MFD:  3ii  3iii	o. "If Yes, show evidence	e". "If No, skip to question 2".		
How does the facility obtain products within the scope of the "International Code of Marketing of BMS and the Na Regulations"? Give details below:  Type of product  Brand Name*: NRN: B/no: EXD: MFD:  Bii	How does the facility obtain products within the scope of the "International Code of Marketing of BMS and the Nati Regulations"? Give details below:  Type of product  Details of Product Brand Name*: NRN: B/no: EXD: MFD:  3i  3ii	Ten (10) Steps for su	ccessful breastfeeding, B. Policy,	C. Code awareness, D. Oth	ners. (Specify))
How does the facility obtain products within the scope of the "International Code of Marketing of BMS and the Na Regulations"? Give details below:  Type of product  Brand Name*:  NRN:  B/no:  EXD:  MFD:  Bi  Bii	How does the facility obtain products within the scope of the "International Code of Marketing of BMS and the Nati Regulations"? Give details below:  Type of product  Brand Name*: NRN: B/no: EXD: MFD:  Bi  Bii	. Does the facility have	the BMS and other related products	s, listed below; YES	NO
Regulations"? Give details below:  Type of product Brand Name*: NRN: B/no: EXD: MFD:  3i  3ii	Regulations"? Give details below:  Type of product Brand Name*: NRN: B/no: EXD: MFD:  3i  3ii	. How does the facility	obtain the products? Give details bel	ow:	
Regulations"? Give details below:  Type of product Brand Name*: NRN: B/no: EXD: MFD:  Details of Product Brand Name*: (Manufacturer)  How obtained²  (Manufacturer)  How obtained²  (Manufacturer)  Brand Name*: NRN: Brand Name*: NAME OF THE METALLIST OF THE METAL	Regulations"? Give details below:  Type of product Brand Name*: NRN: B/no: EXD: MFD:  Details of Product Brand Name*: (Manufacturer)  How obtained²  (Manufacturer)  How obtained²  (Manufacturer)  Brand Name*: (Manufacturer)				
Regulations"? Give details below:  Type of product Brand Name*: NRN: B/no: EXD: MFD:  Bi  Bii	Regulations"? Give details below:  Type of product  Brand Name*: NRN: B/no: EXD: MFD:  Bi  Bii	How does the facility	ohtain products within the scope of	the "International Code of N	Aarketing of RMS and the Nati
Brand Name*: NRN: B/no: EXD: MFD:  Brand Name*: (Manufacturer)  (Manufacturer)  (Manufacturer)	Brand Name*: NRN: B/no: EXD: MFD:  Brand Name*: (Manufacturer)	•		the international code of N	ranketing of Divis and the Ivad
Brand Name*: NRN: B/no: EXD: MFD:  3i  3ii	Brand Name*: NRN: B/no: EXD: MFD:  3i  3ii	Type of product	Datails of Product	Company	How obtained <sup>2</sup>
B/no: EXD: MFD:  3i  3ii	B/no: EXD: MFD:  3i  3ii	Type of product	Brand Name*:		110W Obtained
EXD: MFD:  3i  3ii	EXD: MFD:  3i  3ii				
3ii 3iii	3ii 3iii		EXD:		
3ii 3iii	3ii 3iii	):	MFD:		
3iii	3iii	31			
3iii	3iii				
		3ii			
		3;;;			
3iv	3iv	31II			
3iv	3iv				
		3iv			

Fruit/vegetable/meat puree, F. Sweetened condensed milk, G. Feeding Bottles, H. Teat, L. Pacifiers, M. Other (write under

'Type...')

<sup>2</sup> How obtained: A. Normal purchase,	<b>B</b> . Linked to purchase of other products,	C. With disc	ount or at special rate,	<b>D</b> . Without
collection (when a company issues an in	voice without collecting payment from	the facility),	E. Unsolicited company	y donation,
F. Company donation requested by facil	ity, G. Other donation (write under 'Hov	v')		

3. Do mothers receive free samples of products at this facility? YES NO "If yes, please give details in the table below"; "If No, skip to question 5".

Free samples: List brands of products given to mothers. Also check if the samples advertise other products within scope of the BMS Code. Record only what happened within the last 6 months.

Company (Manufacturer)	Details of Product Brand Name*: NRN: B/no: EXD: MFD:	Type ofproduct	Who gives free samples? <sup>3</sup>	Sample attached? (Yes / No)
4a				
4b				
4c				

<sup>\*</sup>Write none if no brand name

4. Does the facility display any product, poster, pamphlet, calendar, clock or other similar items produced by companies?

YES NO

Product displays, posters, calendars, etc: List all items given by a company that manufactures or distributes products within the scope that refer to i) a brand within the scope, or ii) which include the name or logo of the company, or iii) are somehow related to babies, and displayed in any part of the health care system.

Company (Manufacturer) Name	Company name / logo seen?  (Yes / No)		What is the item being displayed?	What brand name and logo are seen on the item? (Write 'None' if no brand)		Where is item displayed?	Sample attached? (Yes/No)
	Name	Logo		Brand Name	Brand logo		
•							
5b							
5c							

3. "Do company representatives visit this facility? YES NO "If yes, please give details in the table below". "If No, skip to question 7".

<sup>&</sup>lt;sup>3</sup>Who gives free samples?: A. Company rep., B. Facility personnel, C. Other (write under 'Who...')

<sup>&</sup>quot;If yes, please give details in the table below". "If No, skip to question 6".

"If yes, please give details in the table below". "If No, skip to question 7".

Contact with company reps.: List any instance in which company personnel involved with marketing any p roduct within the scope, has direct contact with a mother. Record only what happened within the last 6 months.

Name of Company	Purpose of visit	Brand being promoted by company representative		rovide thesers? (Yes	se things to / No )  Leaflet /	Sample attached?
		(Write 'None' if no brand)	samples	GIIIS	Brochure	
6a						
6b						
6с						

7. Do companies provide health workers with gifts, such as desk calendars, writing pads, pencil holders, prescription pads, diaries, rulers, pens, etc.? YES NO

"If Yes, please give details in the table below". "If No, skip to question 8".

#### Gifts to health workers:

#### Report:

i) any gift that advertises a brand within the scope or includes phrases or slogans about such products. Companies often give pens, pencil holders, diaries, prescription pads, etc., items that are likely to be seen by mothers. Also note any slogan about infants, food products, etc., associated with a company name or logo under "Description of gift". Look out for promotional features such as fuzzy animals or cartoon vegetables which are easily identifiable with the company and its products. For example: a pencil holder with the company name and the words "Best for your baby" or an image of a cute teddy bear or "Mr Carrot"

ii) any personal gift. Manufacturers or distributors of products within the scope should not make personal gifts to health workers. These may include meals, flowers, cakes, cash or any kind of goods or services.

The Code <u>does NOT allow</u> companies to make contributions in the form of fellowships, study tours, research grants or sponsorship for attendance of professional meetings. Many items given at professional meetings, however, can be considered gifts. Personal gifts, even small ones, are inducements (meant to create goodwill or expectation of something in return).

Read Question 6. If the item or service is listed in Q6, record it there rather than under this question.

Record only what happened within the last 6 months.

Name of Company	Description of gift	What brand name appears on gift? (Write 'None' if no	Does company name & logo appear on gift? (Yes / No)		Sample attached? (Yes/No)
		brand name)	Name	Logo	
7i					
7ii					
7iii					

8 Has any company given sponsorship or other services? YES NO "If yes, please give details in the table below". "If No, skip to question 9"

The Code forbids any form of financial or material inducement to health workers or members of their families. The following categories could be considered inducements:

- Escort/chauffeur service
- Funding for travel
- Cash grants

Anything you are not sure is an inducement (e.g. subscriptions to magazines or journals) should still be reported.

Therefore, find out as much information as possible about events of professional associations such as meetings and conference s and their relationships with companies. What exactly does a company sponsoring an event pay for? What do the companies give out? Do companies have booths related to products within the scope of the Code? Do they pay for doctors' websites? Or give out free computer equipment?

Record only what happened within the last 6 months.

Company	Description
	Company

<sup>1</sup>Sponsorship/service, etc: A. Architectural planning/consultation, B. Escort/chauffeur services, C. Funding for research, D. Funding for travel, E. Cash grants, F. Equipment, G. Printing, H. Office supplies (e.g. pens, pads, flashlights, coffee cups), I. Fellowships and awards, J. Sponsorship of meetings/conferences/training, K. Educational videos promoting products, L. Hosting of company events in the facility M. Others (write under 'Sponsorship / Services')

# NIGERIA CODE MONITORING (NCM) FORM 2: INTERVIEW WITH PREGNANT WOMEN/MOTHERS OF INFANTS AND YOUNG CHILDREN AGE 0 - 36 MONTHS

	gnant women and Mothers with children within the age of 0 - 36 months. Wher
ossible, please send a specimen, scan or photography of the second Records with this Form. Mark to	to of Products covered by the International Code of Marketing of BMS and the them with the same form number as you have written on the top right corner
	pecimen or photo relates. (For example: KAD/DNM/1001 – Q7.a).
Age of the baby: months	
Does the baby consume any infant formu	ıla and any other milk? YES NO
"If yes, please give details in the table bel	
What brand of formula or milk do you us	se and why?
Brand	Why do you use this brand? <sup>1</sup>
3-	
3a	
3b	
3c	
3c	
Why do you use this brand? :A. Doc	
Why do you use this brand? :A. Doc	lvice; E. Own experience with previous child; F. Advertisements; G. Relative's c
Why do you use this brand? :A. Doc commendation; D. Another health worker's actiend's recommendation; H. Other (write under	dvice; E. Own experience with previous child; F. Advertisements; G. Relative's cer 'Why')
Why do you use this brand? :A. Doc commendation; D. Another health worker's actiend's recommendation; H. Other (write undo) What brand of cereals, porridges or other	dvice; E. Own experience with previous child; F. Advertisements; G. Relative's cer 'Why')  foods do you use and why?
Why do you use this brand? :A. Doc commendation; D. Another health worker's actiend's recommendation; H. Other (write under	dvice; E. Own experience with previous child; F. Advertisements; G. Relative's cer 'Why')
Why do you use this brand? :A. Doc commendation; D. Another health worker's actend's recommendation; H. Other (write under the commendation) What brand of cereals, porridges or other	dvice; E. Own experience with previous child; F. Advertisements; G. Relative's cer 'Why')  foods do you use and why?
Why do you use this brand? :A. Doc commendation; D. Another health worker's actiend's recommendation; H. Other (write undo) What brand of cereals, porridges or other	dvice; E. Own experience with previous child; F. Advertisements; G. Relative's cer 'Why')  foods do you use and why?
Why do you use this brand? :A. Doc commendation; D. Another health worker's act end's recommendation; H. Other (write under the brand of cereals, porridges or other Brand/TYPE	dvice; E. Own experience with previous child; F. Advertisements; G. Relative's cer 'Why')  foods do you use and why?
Why do you use this brand? :A. Doc commendation; D. Another health worker's actend's recommendation; H. Other (write under the commendation) What brand of cereals, porridges or other Brand/TYPE	dvice; E. Own experience with previous child; F. Advertisements; G. Relative's cer 'Why')  foods do you use and why?
Why do you use this brand? :A. Doc commendation; D. Another health worker's actiend's recommendation; H. Other (write under a commendation) What brand of cereals, porridges or other Brand/TYPE	dvice; E. Own experience with previous child; F. Advertisements; G. Relative's cer 'Why')  foods do you use and why?
Why do you use this brand? :A. Doc commendation; D. Another health worker's actiend's recommendation; H. Other (write under) What brand of cereals, porridges or other Brand/TYPE	dvice; E. Own experience with previous child; F. Advertisements; G. Relative's cer 'Why')  foods do you use and why?
Why do you use this brand? :A. Doc commendation; D. Another health worker's actiend's recommendation; H. Other (write under) What brand of cereals, porridges or other Brand/TYPE	dvice; E. Own experience with previous child; F. Advertisements; G. Relative's cer 'Why')  foods do you use and why?
Why do you use this brand?  :A. Doc commendation; D. Another health worker's actiend's recommendation; H. Other (write under the commendation) What brand of cereals, porridges or other Brand/TYPE  4a	dvice; E. Own experience with previous child; F. Advertisements; G. Relative's cer 'Why')  foods do you use and why?
Why do you use this brand?  :A. Doc commendation; D. Another health worker's actiend's recommendation; H. Other (write under the commendation) What brand of cereals, porridges or other Brand/TYPE  4a	dvice; E. Own experience with previous child; F. Advertisements; G. Relative's cer 'Why')  foods do you use and why?

Contact by company rep.: Record only if it was a company rep. for a product within the scope. This may include contacts during pregnancy.

Name of Company	Place of visit <sup>1</sup>	Purpose <sup>2</sup>	Remarks
5a			
5b			
5c			

<sup>&</sup>lt;sup>1</sup>Place of visit: A. Health facility, B. Pharmacy, C. Home, D. Shop, E. Social Media, F. Online Marketing G. Others (write under 'Place of visit')

6.) Have you received any free sample of a product under the scope of the International Code of Marketing of BMS and the **National Regulations?** YES

"If yes, please give details in the table below"; "If No, skip to question 7".

Company	Brand	Type of product <sup>1</sup>	How/where <sup>2</sup>	Who gave it to you? <sup>3</sup>	Sample attached? (Yes / No)
6a					
6b					
6с					

<sup>&</sup>lt;sup>1</sup>Type of product A. Infant formula, B. Follow-up formula, C. Special formula, E. Fruit/vegetable/meat puree, **D**. Cereal, F. Sweetened condensed milk, G. Feeding Bottle, H. Teat,

"If yes, please give details in the table below

#### Gifts: list any item that

- < is given by a company that manufactures or distributes products within the scope and
- refers to a brand within the scope, OR

<sup>&</sup>lt;sup>2</sup>Purpose: A. Give information about infant feeding, B. Recommend use of a specific brand,

C. Recommend use of a bottle, D. Give sample, E. Give present, F. Other (write under 'Purpose')

L. Other (write under 'Type of product')

<sup>&</sup>lt;sup>2</sup>How/Where: A. Health facility, B. Pharmacy, C. Home, D. Shop, E. Mall, F. Antenatal G. Postnatal, H. Other (write under 'Where/how')

<sup>&</sup>lt;sup>3</sup>Who gave it to you?: A. Company rep., B. Health worker, C. Pharmacist, D. Shop personnel, E. Other (write under 'Who ....')

<sup>7. &</sup>quot;Have you received any booklets or other gifts in this acility? YES NO

< includes the name of the manufacturer or distributor and is related to babies.

Also list the company materials for mothers that offer information about the feeding of infants and young children. You will find that mothers receive booklets, leaflets, stickers or magnets at ante -natal classes, through mail, etc. Those materials often have toll-free telephone numbers to call for advice on infant feeding.

Type of gift <sup>2</sup>	Company	Where/When <sup>3</sup>	Who gave it to you? <sup>4</sup>	Sample attached?
7a				(Yes/No)
, a				
7b				
7c				

<sup>&</sup>lt;sup>1</sup>Type of gift: A. Feeding bottle, B. Bib, C. Nappy, D. Toy, E. Decoration, F. Nappy and bottle bag, G. Gift pack, H. Booklet, Other (write under 'Type of Gift')

<sup>&</sup>lt;sup>2</sup>Where/When: A. Health facility, B. Pharmacy, C. Home, D. Shop, E. Mall, F. Antenatal G. Postnatal, H. Other (write under 'Where/When')

<sup>&</sup>lt;sup>3</sup>Who gave it to you?: A. Company rep., B. Health worker, C. Pharmacist, D. Shop personnel, E. Other (write under 'Who ....')

## NIGERIA CODE MONITORING (NCM) FORM 3: MANUFACTURERS/IMPORTERS/DISTRIBUTORS OF BREASTMILK SUBSTITUTES (BMS) & OTHER RELATED PRODUCTS

What product do you manu		No:listribute? Are your pro		DAC? Yes/No ?
Manufacturer's/Importer' s/distributor's name & address	Type of Product*	Details of Product Brand Name* NRN B/no MFD EXD	Status of Licence	Observations
la				
lb				
le				
) Are your staff engaged in an	e') **Write 'None' in health for the None' in health for the No	f no brand ***Current or acilities?		ondensed milk, <b>G</b> . Bottle,
b) Was there any written r	equest for the activ	vity by the health facil	ity?	
Yes	No			
If No, Why?a) Are your company pers astitutions,			and other related product	
Pharmacies, Superm	narkets and other re	etail outlets?		
Yes				

BMS?

Section 11 of the National Regulations states that; Manufacturers and distributors of the Products shall be responsible for the monitoring of their marketing and practices for compliance within the provisions of these Regulations. Report any manufacturer/importer that violates the provisions of these Regulations.

5) How do you dispose of your bonus samples?
A. Pregnant women B. Nursing mothers C. Health professionals D. Orphanage E. Organization F. Religious houses
G. Work places H. Others ((specify)
6) Do you use volume of sales of BMS product to determine sales bonus for your staff?  Yes No
If no, why?
Yes No If yes, specify:
8) Do you have special incentives e.g. "Best seller of the year" etc, for distributors and marketers of your Breastmilk Substitutes?
Yes No If yes, why?
9) Are there available records/ facilities to indicate that the product conforms to quality standard.  A. Batch formulation Records
B. Regular Quality control analytical records
<ul> <li>C. Qualified Personnel</li> <li>D. Plant sanitation</li> <li>E. Clean records of food handlers test</li> <li>F. **HACCP practice</li> <li>G. Reliable sources of raw materials</li> <li>H. Relevant import documents</li> </ul>
10) What happens in case of reported adverse reaction of infants and young children to your product?
A. Rapid recall system established
B. No recall system
C. Do you notify NAFDAC?  Yes No
If no, why?
11) Is self-auditing/inspection being carried out?  Yes No  If no, why?

If yes, provide evidence?	
12) Do you ensure compliance with International Code of Marketing of BMS and the National Regulation	is on
BMS?	
Yes No	
If no, why?	
If yes, how do you ensure compliance?	
13) Are you aware of the labelling requirements of the International Code of Marketing of BMS and the N	ational
Regulations on BMS.	
Yes No	
If yes, how do you ensure compliance with these standards?	

# NIGERIA CODE MONITORING (NCM) FORM 4: EMPLOYEES OF MANUFACTURERS/IMPORTER S OF BREASTMILK SUBSTITUTES (BMS) & RELATED PRODUCTS

General note: This form is intended Legislations on BMS by Employees	-		of Marketing of BMS and the National itutes & Related Products
Name and Address of Employees of	Manufacturer/Importer:		
Tel. No:	Email:		
Section 5, sub -section 5 of the Interpretation of Bre educational functions in relation to pre Report any Employee of the manufacture.	astmilk Substitutes under gnant women or mothers of	this regulations shall not, of infants and young child	as part of their job responsibilities, perform lren.
infants and young children?  Yes No			ation to pregnant women or mothers of
2) Are you involved in the marketi Yes No	ng of BMS and other re	elated products'?	
Employer's (Manufacturer's/Importer's) name & address	Brand name	Type of Product*	Observations
2a			
2b			
2c			
*Type of Product: A . Infant Formula	B. Follow on milk C. C	Complementary Food <b>D</b>	D. Feeding Bottles E. Teat/Pacifier.
3) Are you trained on the Internation Yes No 4) Are you given sales bonus orince Yes No			S and other related products?
5) Where do you advertise/promote  A. Health Facilities B. Pharmacie: Nurseries & Creches) D. Magazine Religious houses J. Schools K. W (specify)	s/Shop/Supermarkets/Pe/Newspaper <b>E.</b> Book <b>I</b>	atent medicine shop <b>G.</b> TV/Radio <b>G.</b> Rura	C. Child Care Institutions (e.g.
6) Are you engaged in any other ac	tivities in health faciliti	es apart from marketi	ng of Breastmilk substitute and related

Yes

No

products?

7) Was there any written request for the activity by the health facility?
Yes No
8) Does your company offer any form of incentives e.g. "Best seller of the year" etc., for distributors of Breastmilk
substitutes and
related products?
Yes No
If yes,
why?
9) Are you aware of the Codex Alimentarius Standard for Follow-up formula and foods suitable for infants and young children
older than six months of age.
Yes No
If yes, how does your organization ensure compliance with these standards?

#### NIGERIA CODE MONITORING (NCM) FORM 5: FOR MEDIA ORGANIZATIONS/AGENCIES

General note: This form is intended to monitor compliance with International Code of Marketing of BMS and the National Regulations on BMS-by Media Organizations/Agencies including Online media

Name and Address of Employees of Media House:					
Tel. No: Email:					
Staff category: 1.	Top Management 2. l	Middle cadre 3. Junior	Staff		
· -	nel from BMS products Yes No	s manufacturer/marketir Don't know	ng company visited in the	he last 6 months:	
2) If yes, how man If No, skip to qu		in the last 6 months?: -			
A. To seed B. Give p C. Give g D. Give fi Others (S	•	staff			
3c) Was the visit re 4) Have you person companie	equested by staff? Yes No nally received any gift s in the last 6months? Yes No	for your own use not in	cluding baby milk, food		
	gift received during eac				
1st visit	2nd visit	3rd visit	4th visit	5th visit	
·	·	al/allied 5. Clothing/Accesso	, ,		
6) Is it possible for m	ne to see the gift?				

Yes

No

Yes	No				
6b) Does company name or logo appear on the gift?					
Yes	No				
6c) Any sample attached	?				
Yes	No				
7) Did you advertise bab	y foods or other related products in the last six months?				
Yes	No				
7a) What products were	advertised?				
<b>A.</b>	Infant formula				
В.	Follow-up/Follow-on formula				
С.	Complementary foods				
D.	Special Formula				
<b>E.</b>	Feeding bottle				
F.	Teat				
G.	Pacifier				
Н.	Others				
7b) Which company app	roached you for advert?				
7c) Who sponsored the a	dvert?				
A.	Paid advert				
B.	Government sponsored				
C.	NGO sponsored				
D.	Company sponsored				
E.	As your contribution to healthcare				
F.	Others (specify)				
	ed any sample of product in the last six months?				
Yes	No				
	were received in the last six months?				
8b) What was the sample					
Α.	Infant formula				
В.	Follow-up formula				
C.	Complementary foods				
D.	Feeding Bottle				
<b>E.</b>	Teat				
F.	Pacifier				
G.	Others				
8c) Which company mar	-				
8d) Is it possible to see it	7				

6a) If Yes, does the gift carry brand name?

Yes No	
If no	
why	
8e) What was the brand name of the simple?	
r	
8f) From which age was the product intended?	••••
A. 0-6mth B. After 6mths	
9) What were these samples used for?	
A. For pregnant women/mothers of infants and young	children age 0 - 36 months
B. Personal use	
C. Others (specify)	
9) Are you aware of exclusive breastfeeding for babies?  Yes No  If Yes, Explain?	
11a) Are you aware of the <b>International Code of Marketing of BMS an</b>	d the National Regulations on BMS
Yes No	
11b) If Yes, Concerning Advertisement of Breastmilk Substitutes and Rela	ated Products, what is the provision of the
Regulations.	
1. Advertisement Allowed	2. Advertisement
prohibited	

## NIGERIA CODE MONITORING (NCM) FORM 6: NURSERIES AND CHILD CARE INSTITUTIONS

This form asks for information about the visits of personnel of baby milk companies to the facility. M ake sure that for each visiting company the list of products donated or received is obtained.  Record only what happened within the last 6 months.						
Name and Address of Nursery/	Child care facility:					
1) Has any company repr	esentative from Infant Foo	od company visited	here in the last six mon	ths?		
Visiting Company (Manufacturer)	Purpose of Visit	Personnel M	et Any gifts or donat	ions made? <sup>1</sup>		
1a						
1b						
1c						
Gifts and Donations: Infant form	mula Tanta Fanding hattles					
Identify the company personnel vand activities.	who visited the nursery/child	d care institutions in	the last six months and o	btain evidence of their visits		
Name of visiting company personnel	When did they visit	Purpose of visit	Was visit requested?	Any donations or gifts		
2a						
2b						
2c						
3. Have you received any other gifts for your own use not including baby milk, food, bottle or teats from companies in the last six months? Yes or No? If Yes, give details in Table below.						

The personal gifts should not include gifts that have been given to the Nursery or Child Care institution but personal gift the personnel of the nursery or child care institution.

ts	to	
----	----	--

Name of Gift	Company (Manufacturer) Name	How many times gifts were received	Does it carry brand name?		Is there a logo?	Sample attached? (Yes/No)	
3a							
3b							
3c							

#### 4. Has this facility received any sample of product in the last six months?

The nature of the samples given to the facility must be identified and the quantity given must be stated. Make efforts to sight the products if possible.

How many samples received	Name of Company	Any Brand name on the samples?	What age was the product intended for?		What were the samples used for?	
4a						
4a						
4c						

## NIGERIA CODE MONITORING (NCM) FORM 7: PROMOTION IN RETAIL OUTLETS

General note: This form is intended to cover all Pharmacies, Supermarkets, Patent Medicine Stores, Provision Stores & Open Markets.							
Name and Address of shop:  Name of shop owner:	Name and Address of shop:						
•					••••••		
1a) Has any company sent prom			_				
1b) Has any company sent productails in the box below.	moters to the shop to advis	e consumer	s on infant feed	ling or on particular produ	ucts? If yes, give		
Contact by marketing personnel: products) from seeking direct and view to selling or giving information	d indirect contact with the co				• •		
Buddenshaper	Brand name	Type of Pr	oduct*	Observation	ns		
		\ \ -					
1a							
1b							
1c							
*Type of Product: A . Infant For	rmula <b>B</b> . Follow on milk	C. Complem	entary Food I	D. Feeding Bottles E. Teat/	Pacifier		
*Type of Product: A. Infant Formula B. Follow on milk C. Complementary Food D. Feeding Bottles E. Teat/Pacifier  2. Are any of the following promotional techniques used to promote sales of infant foods/bottles/teats in this shop? If yes, give details in the box below.							
Article 5 forbids point-of-sale advertising, giving samples, or any other device to induce sales directly to the consumer at the retail level. Where possible, please include specimens or photos with this Form. Mark them with the same reference as you have written at the top right-hand corner of this Form. Indicate also which question a specimen or photo relates to. (For example: LAG/PCN/2001 - Q.2.a)							
Manufacturer's name	Brand name	e	Promot	tional Techniques *	Sample attached?		

2a		
2b		
2c		

<sup>\*</sup>Promotional Techniques: A . Discount to Shop owner B. Discounts to customers C. Advertisement of new products D. Special displays E. Coupons F. Samples, G. Gifts with purchase H. Posters on display I. Product information J. Special sales K. Tie-in-sales (buy one, get two, etc) L. Product launch M. Shelf-talkers N. ATM Card Incentives O. Others (write under "Promotional Technique"...)

## NIGERIA CODE MONITORING (NCM) FORM 9: Checklist For Posters And Leaflets And Brochures

General note:  This is intended to monitor advertisement in form of leaflets, brochures and posters on Breast milk substitutes and related products within the scope of the code.						
	here possible, please attach a sp we written at the top right-hand	ecimen or photo of the material with t I corner of this Form	this Form. Mar	k it with the same reference as you		
Ша	ive written at the top right-hand	Corner of this Porm.				
н	eading/Title of the material					
1.	To what product does the mater			Duoud nome		
	Company	Type of product <sup>1</sup>		Brand name (Write 'None' if no brand)		
				(Write None if no brand)		
-						
<sup>1</sup> T		B. Follow-up formula C. Special form		E. Fruit/vegetable/meat puree		
F	F. Sweetened condensed milk G	Bottle H. Teat L. Other (write under	r 'Type')			
_						
2.	Type of material: A. Booklet					
	B. Leaflet					
	C. Banner					
	D. Electronic informat	ion display				
	E. Poster					
	F. Calendar					
	G. Growth Chart					
	H. Billboards					
_	I. Other (write here)					
3.	Where was the material for	ound/observed?				
	A. Teaching Hospital					
	B. General hospital					
	C. Maternity Centers					
	D. Pediatric hospital					
	E. Clinic					
	F. Health centers.					
	H. Federal Medical Ce	entres				
	I. Pharmacy					
	J. Vehicles					
	ii. Other (write here).					
4.	Name of the place where	the material was found/observed	l:			
	Date of publication (if ave	nilable):				
	Is the place: A. public	<b>B.</b> private				
	is the place. At paolic	z. piivate				
5.	If the material reaches me	others, please select the relevant	option below a	and describe further if necessary		
		ia health worker C. Other	1			

De	tails:				
2.	Wı	rite down any promotional messages i.e. information which is NOT scientific and factu	ıal, if any		
3.		e Code requires certain information for materials about infant formula, follow -up for ttles & teats. If the material is <u>not</u> about any of these products, go to Question 9.	rmula, comp	lementary foods an	d
	Tic	k 'Missing' if the information is not found. Tick 'Present' if it is there.			
			Missing	Present	
	a.	a statement on the benefits and superiority of breastfeeding			
	b.	a statement on the negative effect on breastfeeding of introducing partial bottle feeding.			
	c.	a statement on the difficulty of reversing the decision not to breastfee d.			
	d.	a statement on maternal nutrition, and the preparation for and maintenance of breastfeedi	ng.		
4.	Ad	ditional requirement for infant formula. If the product is not infant formula, go to Qu	iestion 9.		
	Tic	k 'Missing' if the information is not found. Tick 'Present' if it is there.			
			Missing	Present	
	a.	a statement on the proper use of infant formula			
	b.	a statement on the social and financial implications of the use of infant formula.			
	c.	a statement on the health hazards of inappropriate foods or feeding methods			
	d.	a statement on the health hazards of unnecessary or improper use of formula or other Breastmilk substitutes.			
9.	Co	ontents of the material:			
	a.	Write down any statement which implies that bottle feeding is equivalent or superior to b	reastfeeding,	if any.	
	b.	Describe pictures or text which idealize the use of Breastmilk substitutes, if any.			

Additional details and comments. (Please use this part to describe anything not covered by the questions. Use this space also to
write the promotional text in its original language for your answers to Questions 6 and 9)

# NIGERIA CODE MONITORING (NCM) FORM 10: LABELS OF INFANT AND FOLLOW UP FORMULAE, COMPLEMENTARY FOODS, FEEDING BOTTLES, TEATS, PACIFIERS AND OTHER BREASTMILK SUBSTITUTES

This Form is intended for monitoring labels for infa nt formula labels (0 -6months), for Follow up Formula (6-36months),

**General note:** 

scope of the Code. It is a viola Where possible, please inc	d other Breast Milk Substitutes ation o promote these products in the clude a specimen or photo of the threshold corner of this Form.	mass media, retail outlets or the	hea Ithcare s	
Company:				
Product type: Infant meal Soy-based formula	Follow up formulae Non Milk cereal	Powder Milk Liquid Milk		Sweetened Condensed Milk Fruit/veg/meat puree
Special formula Mill Bottle, teat	Cereal Others	Regular Infant formula	Water for	r Babies
Brand name:				
Made in (country):				
Details of Product: MAN. Date;				
Expiry Date;				
Batch No;				
NAFDAC Reg. No;				
1.) i. Regarding infant fo	rmula:	Anadomica and the Name of Albana		
a. the words "important n	otice" or similar	-		~
b. the statement that brea	stfeeding is best			
c. a warning about the he	alth hazards of inappropriate prepa	aration		
d. a statement that the pro	oduct should be used only on the ac	dvice of a health worker		
e. instructions for prepara	ation in a clear and easy-to-follow	manner		
f. the text in English and	three (3) national languages (Haus	sa, Igbo & Yoruba)		
g. an easily readable expi	ry date (check lid or bottom of tin)			
h. advice on storage cond	itions			
i batch number (often or	hottom of product)			

a.	the ingredients and composition		
b.	Picture of baby or teddy bear		
1.	Possible contamination of intrinsic bacteria, "Cronobacter (Enterobacter) Sakazakii"		
ii. T	the Code does NOT allow idealising material on the labels of infant formula.		
	a. Write down terms like "maternalised", "humanised," or similar, if any.		
			••••
	b. Write down other text which discourages breastfeeding or idealises the use of infant form	nula, if any	
	c. Describe photos, drawings, or other representations of an infant, if any. (Please attach ph label)	oto of offend	ling
	d. Describe other pictures or drawings which may idealise the use of infant formula, if any. photo of offending label)		
iii.	Any Nutritional Claim?		
	Yes No		
	If Yes, give		
deta	ils		
2) F	ollow-up formula, Complimentary foods and other BMS products  Does the label		
	a. indicate recommended age for use?		
	Yes No		
	If yes, what is it? month(s)		
	b. suggest that a bottle be used with this product?  Yes  No		
	c. carry pictures, drawing or other representation of an infant? (If yes, please attach photo of the	offending lab	el?)
	Yes No	7	)
	d. of Follow-up formula resembles the company's infant formula labels?		
	Yes No		
	e. of Follow-up formula indicate or suggest in any way that the product could be used for babies  Yes No	under six mo	onths?
3) L	abels on feeding bottles and teat Does the label:		
	carry a photo, drawing or other representation of an infant or young child, or a parent bottle feeding	g a baby? (If	yes,
plea	se attach photo of offending label)		
	Yes No		

b.	b. contain any other drawing, image or text which idealises the use of the product?				
	Yes No If yes, describe				
c.	promote breastmilk substitutes?				
	Yes No If yes, describe				
d.	have text which suggests similarity of the product to the breast or nipple?  Yes No				
	Yes No If yes, describe				
4)	<b>Details and comments:</b> (Please use this part to describe anything not covered by the questions)				

#### NIGERIA CODE MONITORING QUICK & EASY FORM

#### General note:

This Form is intended for use to report a Code violation or a particular company practice which discourages breastfeeding if you prefer not to do a full Code report using the 10 National Code Monitoring forms.

Have you noticed any company practices lately which violate the International Code, subsequent WHA resolutions and the 2017 Regulations or which discourage breastfeeding? If so, help us collect the information by photocopying and completing the form below and sending it to – NAFDAC, HQ Abuja, or the nearest NAFDAC office in the States.

Name (optional)				
Address				
Phone No				
E-mail				•••
The above information will be kept confidentia		to double-check the information you	nave given, if necessary. Your identity	
-	•	ons, especially the when, where, who, company materials		
2. When was the	violation observed?(dd/mm/yyy	y)		
3. Where?(place	, city and state)			
4. <b>Who</b> is violati	ng the Code and how?			
Company	Brand	Type of product <sup>1</sup>	Type of violation <sup>2</sup>	]
<sup>1</sup> Type of product: A. Ji	nfant formula B Follow-un form	nula C. Complementary food D. Bo	ttle & teat E. Other (please specify)	_
<sup>2</sup> Type of violation: A.	Advertisement <b>B.</b> Commercial pr	comotion in health facility C. Compan	y contact with mothers <b>D</b> . Donation of	
*	ties <b>E</b> . Free sample <b>F</b> . Gift to heaplease explain, use another sheet	alth worker <b>G</b> . Gift to mothers <b>H</b> . Inac	equate <b>I</b> . Promotion in shops <b>J</b> .	
	s attached, tick here	or paper ir necessary)		
	· <del></del>			

- 5. Observation/Details (Please use another sheet of paper if necessary):
  - This form may be returned to NAFDAC by post or via email. An electronic version can be downloaded from the NAFDAC website <a href="https://www.nafdac.gov.ng">www.nafdac.gov.ng</a>
  - · Where possible, include actual specimen, photographs or scanned images of Code violations with your form
  - Samples should be identified and matched to the correct forms especially when you do more than one report.

## MONITORING GUIDE (Lagos State as a Case Study)

S/N	State	Senatorial District	Monitoring Site	Classific ation	Required No. of Sites to visit
1	Lagos	Lagos East	Health Facilities/Health	Rural	2
			workers	Urban	2
2	Lagos	Lagos West	Health Facilities/Health	Rural	2
			workers	Urban	2
3	Lagos	Lagos Central	Health Facilities/Health	Rural	2
			workers	Urban	2
4	Lagos	Lagos East	Mothers	Rural	10
				Urban	10
5	Lagos	Lagos West	Mothers	Rural	10
				Urban	10
6	Lagos	Lagos Central	Mothers	Rural	10
				Urban	10
7	Lagos	Lagos East	Manufacturers/	Rural	1
		_	Importers/Distributors	Urban	1
8	Lagos	Lagos West	Manufacturers/	Rural	1
			Importers/Distributors	Urban	1
9	Lagos	Lagos Central	Manufacturers/	Rural	1
			Importers/Distributors	Urban	1
10.	Lagos	Lagos East	Employees of	Rural	1
			Manufacturers/ Importers	Urban	1
11.	Lagos	Lagos West	<b>Employees of</b>	Rural	1
			Manufacturers/ Importers	Urban	1
12.	Lagos	Lagos Central	Employees of	Rural	1
			Manufacturers/Importers	Urban	1
13.	Lagos	Lagos East	Media Organizations	Rural	1
			/Agencies	Urban	1
14.	Lagos	Lagos West	Media Organizations	Rural	1
			/Agencies	Urban	1
15.	Lagos	Lagos Central	Media Organizations	Rural	1
			/Agencies	Urban	1
16.	Lagos	Lagos East	Nurseries and Child Care	Rural	1
		3	Institutions	Urban	1
17.	Lagos	Lagos West	Nurseries and Child Care	Rural	1
		9	Institutions	Urban	1

18.	Lagos	Lagos Central	Nurseries And Child Care Institutions	Rural	1
				Urban	1
19	Lagos	Lagos East	Pharmacies/	Rural	1 (1 PMS or Provision store)
			Supermarkets/		1 (1 Pharmacy or
			Provision Stores/	Urban	Supermarket)
			Patent Medicine		
20	Lagos	Lagos West	Pharmacies/	Rural	1 (1 PMS or Provision store)
			Supermarkets/	Urban	1 (1 Pharmacy or
			<b>Provision Stores/</b>		Supermarket)
			Patient Medicine		
21	Lagos	Lagos Central	Pharmacies/	Rural	1 (1 PMS or Provision store)
			Supermarkets/	Urban	1 (1 Pharmacy or
			<b>Provision Stores/</b>		Supermarket)
			Patient Medicine		
22	Lagos	Lagos East	Open Market	Rural	1
				Urban	1
23	Lagos	Lagos West	Open Market	Rural	1
				Urban	1
24	Lagos	Lagos Central	Open Market	Rural	1
				Urban	1
25	Lagos	Lagos East	Advertisement on (BMS)	Rural	1
			and Related Products	Urban	1
26	Lagos	Lagos West	Advertisement on (BMS)	Rural	1
	8	8	and Related Products	Urban	1
27	Lagos	Lagos Central	Advertisement on (BMS)	Rural	1
_,	Lugos	Lugos contrar	and Related Products	Urban	1
28	Lagos	Lagos East	Posters, Leaflets and	Rural	1
20	Lagos	Lagos East	Brochures	Urban	1
29	Lagas	Lagos Wost	Posters, Leaflets and	Rural	1
29	Lagos	Lagos West	Brochures		
20	т			Urban	1
30	Lagos	Lagos Central	Posters, Leaflets and Brochures	Rural	1
				Urban	1
31	Lagos	Lagos East	Labels of BMS & other	Rural	1
			related products	Urban	1
32	Lagos	Lagos West	Labels of BMS & other	Rural	1
			related products	Urban	1
33	Lagos	Lagos Central	Labels of BMS & other	Rural	1
			related products	Urban	1

